

<b>UTILITY PATENT APPLICATION TRANSMITTAL</b>  <small>(Only for new nonprovisional applications under 37 CFR 1.53(b))</small>	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 40%;">Attorney Docket No.</td> <td>7113.US.01</td> </tr> <tr> <td>First Inventor</td> <td>Joerg Breitenbach</td> </tr> <tr> <td>Title</td> <td>SOLID PHARMACEUTICAL DOSAGE FORM</td> </tr> <tr> <td>Express Mail Label No.</td> <td>EV314259399US</td> </tr> </table>	Attorney Docket No.	7113.US.01	First Inventor	Joerg Breitenbach	Title	SOLID PHARMACEUTICAL DOSAGE FORM	Express Mail Label No.	EV314259399US
Attorney Docket No.	7113.US.01								
First Inventor	Joerg Breitenbach								
Title	SOLID PHARMACEUTICAL DOSAGE FORM								
Express Mail Label No.	EV314259399US								

<b>APPLICATION ELEMENTS</b> <small>See MPEP chapter 600 concerning utility patent application contents.</small>	<b>ADDRESS TO:</b> Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria VA 22313-1450
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<p>1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17)  <small>(Submit an original and a duplicate for fee processing)</small></p> <p>2. <input checked="" type="checkbox"/> Applicant claims small entity status.                  See 37 CFR 1.27.</p> <p>3. <input checked="" type="checkbox"/> Specification <span style="float: right;">[Total Pages <u>23</u>]</span>  <small>(preferred arrangement set forth below)</small></p> <ul style="list-style-type: none"> <li>- Descriptive title of the invention</li> <li>- Cross Reference to Related Applications</li> <li>- Statement Regarding Fed sponsored R &amp; D</li> <li>- Reference to sequence listing, a table, or a computer program listing appendix</li> <li>- Background of the invention</li> <li>- Brief Summary of the invention</li> <li>- Brief Description of the Drawings (if filed)</li> <li>- Detailed Description</li> <li>- Claim(s)</li> <li>- Abstract of the Disclosure</li> </ul> <p>4. <input type="checkbox"/> Drawing(s) (35 U.S.C. 113) <span style="float: right;">[Total Sheets _____]</span></p> <p>5. Oath or Declaration <span style="float: right;">[Total Sheets _____]</span></p> <p style="margin-left: 20px;">a. <input type="checkbox"/> Newly executed (original or copy)</p> <p style="margin-left: 20px;">b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d))  <small>(for continuation/divisional with Box 18 completed)</small></p> <p style="margin-left: 20px;">i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b>  <small>Signed statement attached deleting inventor(s) name in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</small></p> <p>6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76</p>	<p>7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)</p> <p>8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)</p> <p style="margin-left: 20px;">a. <input type="checkbox"/> Computer Readable Form (CRF)</p> <p style="margin-left: 20px;">b. Specification Sequence Listing on:</p> <p style="margin-left: 40px;">i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or</p> <p style="margin-left: 40px;">ii. <input type="checkbox"/> Paper</p> <p style="margin-left: 20px;">c. <input type="checkbox"/> Statements verifying identity of above copies</p>
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#### ACCOMPANYING APPLICATION PARTS

- |   |                         |
|---|-------------------------|
| 9. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))<br>37 CFR 3.73(b) Statement   | Power of Attorney       |
| 10. <input type="checkbox"/> English Translation Document (if applicable)   |                         |
| 11. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1499  | Copies of IDS Citations |
| 12. <input type="checkbox"/> Preliminary Amendment  |                         |
| 13. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503)<br><small>(Should be specifically itemized)</small>                |                         |
| 14. <input type="checkbox"/> Certified Copy of Priority Document(s)<br><small>(if foreign priority is claimed)</small>                        |                         |
| 15. <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent. |                         |
| 16. <input checked="" type="checkbox"/> Unexecuted Mail Certificate   |                         |
| 17. <input checked="" type="checkbox"/> Other: Express Mail Certificate   |                         |

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76:

<input type="checkbox"/> Continuation	<input type="checkbox"/> Divisional	<input type="checkbox"/> Continuation-in-part (CIP)
Prior application information: Examiner _____ Art Unit: _____ of prior application No.: _____		

For CONTINUATION OF DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

#### 19. CORRESPONDENCE ADDRESS

<input checked="" type="checkbox"/> Customer Number: <span style="border: 1px solid black; padding: 2px 20px;">23492</span>	OR <input type="checkbox"/> Correspondence address below
Name <span style="border: 1px solid black; display: inline-block; width: 80%; height: 1.2em; vertical-align: middle;"></span>	
Address <span style="border: 1px solid black; display: inline-block; width: 80%; height: 1.2em; vertical-align: middle;"></span>	
City <span style="border: 1px solid black; display: inline-block; width: 40%; height: 1.2em; vertical-align: middle;"></span>	State <span style="border: 1px solid black; display: inline-block; width: 20%; height: 1.2em; vertical-align: middle;"></span>
Country <span style="border: 1px solid black; display: inline-block; width: 40%; height: 1.2em; vertical-align: middle;"></span>	Zip Code <span style="border: 1px solid black; display: inline-block; width: 20%; height: 1.2em; vertical-align: middle;"></span>
Telephone <span style="border: 1px solid black; display: inline-block; width: 40%; height: 1.2em; vertical-align: middle;"></span>	Fax <span style="border: 1px solid black; display: inline-block; width: 20%; height: 1.2em; vertical-align: middle;"></span>
Name (Print/Type) <span style="border: 1px solid black; padding: 2px 20px;">Kalim S. Fuzail</span>	
Signature <span style="border: 1px solid black; display: inline-block; width: 40%; height: 1.2em; vertical-align: middle;"></span>	Registration No. (Attorney/Agent) <span style="border: 1px solid black; padding: 2px 20px;">45805</span> Date <span style="border: 1px solid black; padding: 2px 20px;">8-28-08</span>

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

03970 U.S. P.T.  
 10/650178  
 08/28/03

17561 U.S. PTO  
08/28/03

PTO/SB/17 (08-03)  
Approved for use through 07/31/2008. OMB 0651-0002  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE  
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# FEE TRANSMITTAL for FY 2003

Effective 01/01/2003, Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$786.00)

## Complete if Known

Application Number  
Filing Date August 28, 2003  
First Named Inventor Joerg Breitenbach  
Examiner Name  
Art Unit  
Attorney Docket No. 7113.US.01

## METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit Account Number 01-0025  
Deposit Account Name

The Commissioner is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments

☐ Charge any additional fee(s) during the pendency of this application

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1001 750	2001 375	Utility filing fee	750.00
1002 330	2002 165	Design filing fee	
1003 520	2003 260	Plant filing fee	
1004 750	2004 375	Reissue filing fee	
1005 160	2005 80	Provisional filing fee	
SUBTOTAL (1)			\$750.00

### 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Extra Claims	Fee from below	Fee Paid
22	20** = 2	18	36
Independent Claims	1	3** = 0	0
Multiple Dependent			

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
1202	18	2202	9	Claims in excess of 20
1201	84	2201	42	Independent claims in excess of 3
1203	280	2203	140	Multiple dependent claim, if not paid
1204	84	2204	42	** Reissue independent claims over original patent
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1051 130	2051 65	Surcharge - late filing fee or oath	
1052 50	2052 25	Surcharge - late provisional filing fee or cover sheet	
1053 130	1053 30	Non-English specification	
1812 2,520	1812 2,520	For filing a request for ex parte reexamination	
1804 920*	1804 920*	Requesting publication of SIR prior to Examiner action	
1805 1,840*	1805 1,840*	Requesting publication of SIR after Examiner action	
1251 110	2251 55	Extension for reply within first month	
1252 410	2252 205	Extension for reply within second month	
1253 930	2253 465	Extension for reply within third month	
1254 1,450	2254 725	Extension for reply within fourth month	
1255 1,970	2255 985	Extension for reply within fifth month	
1401 320	2401 160	Notice of Appeal	
1402 320	2402 160	Filing brief in support of an appeal	
1403 280	2403 140	Request for oral hearing	
1451 1,510	1451 1,510	Petition to institute a public use proceeding	
1452 110	2452 55	Petition to revive - unavoidable	
1453 1,300	2453 650	Petition to revive - unintentional	
1501 1,300	2501 650	Utility issue fee (or reissue)	
1502 470	2502 235	Design issue fee	
1503 630	2503 315	Plant issue fee	
1460 130	2460 65	Petitions to the Commissioner	
1807 50	2807 25	Processing fee under 37 CFR 1.17(q)	
1808 180	2808 90	Submission of Information Disclosure Stmt	
8021 40	8021 40	Recording each patent assignment per property (times number of properties)	
1809 750	2809 375	Filing a submission after final rejection (37 CFR 1.129(a))	
1810 750	2810 375	For each additional invention to be examined (37 CFR 1.129(b))	
1801 750	2801 375	Request for Continued Examination (RCE)	
1802 900	1802 900	Request for expedited examination of a design application	
Other fee (specify)			
*Reduced by Basic Filing Fee Paid			
SUBTOTAL (3)			(\$)

## SUBMITTED BY

Name (Print/Type) Kalim S. Fuzail Registration No. (Attorney/Agent) 45805 Telephone 847-935-7835  
Signature Date 8-28-03

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Jörg Breitenbach, et al.

Serial No.: (Not assigned yet)

Group No.: (Not assigned yet)

Filed: August 28, 2003

Examiner: (Not assigned yet)

For: **SOLID PHARMACEUTICAL DOSAGE FORM**

EXPRESS MAIL CERTIFICATE

"Express Mail" label number EV314259399US

Date of Deposit: August 28, 2003

I hereby state that the following *attached* papers or fees

Utility Patent Application Transmittal – in duplicate

Fee Transmittal – in duplicate

Specification (18 pages); 22 Claims (4 pages); Abstract (1 page); **Total: 23 pages**

Unexecuted Declaration and Power of Attorney

Return-Receipt Postcards

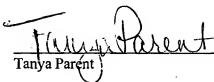
are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10, on the date indicated above and is addressed to:

Mail Stop Patent Application

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

 8/28/03  
Tanya Parent Date

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